



TI BROKERS

**TI BROKERS (PTY) LTD (PTY) LTD
("the FINANCIAL SERVICE PROVIDER (FSP)")
FSP 48646**

GENERAL FAIS DISCLOSURES

TI BROKERS

Last Update: 2020-11-26 11:11 pm

1. Intermediary Disclosure

FSP Name: TI Brokers (Pty Ltd) hereinafter referred to as TI Brokers

We are a Financial Services Provider and have been in the financial services industry since August 2019

TI Brokers (Pty) Ltd annual financial statements are subject to a statutory audit, as required by the Short Term Insurance Act (Act No. 53 of 1998), which is in place.

We do not own more than 10% of issued shares directly or indirectly of any Financial Product Provider. We are not an associated company of any product provider. We have earned more than 30% of our total fees or commission from Commercial and Industrial Acceptances (Pty) Ltd | Reg. No. 2000/019340/07 | FSP No. 13890

We earn our income from commission/fees that the Product Provider pays over to us on the products purchased by our clients and/or by charging a fee for services rendered to our clients. Full details/records thereof are recorded in accordance with our policies, specifically with regard to a possible conflict of interest. A Conflicts of Interest Policy is maintained to manage conflicts of interest in compliance with the provisions of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS")

TI Brokers have Professional Indemnity Insurance cover of R5 000 000.00 (Five Million Rand)

Compliance Toolbox is TI Brokers compliance company and is represented by Charmaine van Wyk (CO 4073 & CO 5561).

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In terms of the FAIS Act, we are obliged to set up a complaints resolution process. Please contact our office or refer to the complaints procedure document disclosed on our website at <https://www.tibrokers.biz/> to get more detailed information in this regard.

To view the list of Key Individuals and Representatives who are authorised under this license, please refer to the following FAIS website link: <https://www.tibrokers.biz/documents/>